STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS DEPARTMENT OF ENVIRONMENTAL MANAGEMENT OFFICE OF COMPLIANCE & INSPECTION

IN RE: H. S. Realty Corporation FILE NO.: Dam State I.D. 621 and OCI-DAMS-13-92

NOTICE OF VIOLATION

A. <u>Introduction</u>

Pursuant to Sections 42-17.1-2(21) and 42-17.6-3 of the Rhode Island General Laws, as amended, ("R.I. Gen. Laws") you are hereby notified that the Director of the Department of Environmental Management (the "Director" of "DEM") has reasonable grounds to believe that the above-named party ("Respondent") has violated certain statutes and/or administrative regulations under DEM's jurisdiction.

B. Facts

- (1) The property is a dam identified as Holley Lane Dam, State Identification Number 621, located approximately 290 feet east of the intersection of Mendon Road and Holley Lane, approximately 50 feet north of Holley Lane, Assessor's Plat 55A, Lot 1, in the city of Woonsocket, Rhode Island ("Dam 621").
- (2) The Respondent owns Dam 621.
- On 7 July 2009, the DEM received a registration form from the Respondent identifying it as the owner of Dam 621.
- (4) Dam 621 is classified by the DEM as High Hazard.
- (5) On 24 October 2013, Dam 621 was inspected. The inspection revealed vegetation and deadfall on the upstream side, crest and downstream side of the embankment that did not allow a proper inspection to be performed and erosion behind the training walls of the primary spillway that was diverting flow.
- (6) The DEM considers Dam 621 unsafe because of vegetation and deadfall that inhibits a proper inspection.
- (7) The DEM considers that Dam 621 may be unsafe because of the erosion behind the training walls.

C. Violation

Based on the foregoing facts, the Director has reasonable grounds to believe that you have violated the following statutes and/or regulations:

- (1) **DEM's Rules and Regulations for Dam Safety (the "Dam Safety Regulations"), Rule 4(A)** requiring the owner of a high hazard dam to maintain the dam in a safe condition.
- (2) **DEM's Dam Safety Regulations, Rule 11(A)(2)** requiring the owner of a high hazard dam to perform a visual inspection of the dam whenever the DEM determines one is necessary.
- (3) **DEM's Dam Safety Regulations, Rule 11(A)(4)** requiring the owner of a high hazard dam to perform a detailed investigation of the dam whenever the findings of a visual inspection reveals that the dam may be unsafe.

D. Order

Based upon the violations alleged above and pursuant to R.I. Gen. Laws Section 42-17.1-2(21), you are hereby ORDERED to:

- (1) Within 90 days of receipt of the Notice of Violation ("NOV"), cut or remove the vegetation and deadfall from the areas of Dam 621 as described in Paragraph B(5) above in accordance with the DEM's Dam Safety Regulations, Rule 10A. Cutting or removal shall be in sufficient amounts to allow a thorough visual inspection to be performed. The DEM shall be notified upon commencement and completion of this work.
- (2) Within 90 days of receipt of the NOV, retain a professional engineer fully registered in the State of Rhode Island, who is experienced with dam inspections, design, construction and repair (the "Dam Engineer").
- (3) Within 180 days of receipt of the NOV, the Dam Engineer must complete the following in accordance with the DEM's Dam Safety Regulations, Rule 11C:
 - (a) A visual inspection of Dam 621;
 - (b) A detailed investigation of Dam 621 to assess the erosion and diversion of water at the primary spillway; and
 - (c) Submit a report of the inspection/investigation findings to the DEM. The report must specify the actions (in addition to the actions ordered in the NOV) necessary to return the dam to a safe condition and include a schedule to complete the work. For any proposed repairs, the report must include an application prepared in accordance with the DEM's Dam Safety Regulations, Rule 10B.

- (4) The report, application, and/or schedule required above shall be subject to the DEM's review and approval. Upon review, the DEM shall provide written notification to the Respondent either granting formal approval or stating the deficiencies therein. Within 14 days (unless a longer time is specified) of receiving a notification of deficiencies, the Respondent shall submit to the DEM a modified report, application, and/or schedule or additional information necessary to correct the deficiencies.
- (5) Commence work specified in the schedule approved by the DEM within 20 days of approval (unless otherwise expressly authorized by the DEM in writing to commence work at a later time), and complete such work in accordance with the approved schedule.

E. Right to Administrative Hearing

- (1) Pursuant to R.I. Gen. Laws Chapters 42-17.1, 42-17.6, 42-17.7 and 42-35, each named respondent is entitled to request a hearing before the DEM's Administrative Adjudication Division regarding the allegations and/or orders set forth in Sections B through D above. All requests for hearing MUST:
 - (a) Be in writing. <u>See</u> R.I. Gen. Laws Sections 42-17.1-2(21)(i) and 42-17.6-4(b);
 - (b) Be **RECEIVED** by the DEM's Administrative Adjudication Division, at the following address, within 20 days of your receipt of the NOV. <u>See</u> R.I. Gen. Laws Sections 42-17.1-2(21)(i) and 42-17.7-9:

Administrative Clerk
DEM - Administrative Adjudication Division
One Capitol Hill, 2ND Floor
Providence, RI 02903

- (c) Indicate whether you deny the alleged violations. <u>See</u> R.I. Gen. Laws Section 42-17.6-4(b); **AND**
- (d) State clearly and concisely the specific issues which are in dispute, the facts in support thereof and the relief sought or involved, if any. See Rule 7.00(b) of the DEM's Administrative Rules of Practice and Procedure for the Administrative Adjudication Division for Environmental Matters.

(2) A copy of each request for hearing must also be forwarded to:

Joseph J. LoBianco, Esquire DEM - Office of Legal Services 235 Promenade Street, 4TH Floor Providence, RI 02908-5767

- (3) Each named respondent has the right to be represented by legal counsel at all administrative proceedings relating to this matter.
- (4) Each respondent must file a separate and timely request for an administrative hearing before the DEM's Administrative Adjudication Division as to each violation alleged in the written NOV. If any respondent fails to request a hearing in the above-described time or manner with regard to any violation set forth herein, then the NOV shall automatically become a Final Compliance Order enforceable in Superior Court as to that respondent and/or violation. See R.I. Gen. Laws Sections 42-17.1-2(21)(i) and (vi) and 42-17.6-4(b) and (c).
- (5) An original signed copy of the NOV is being forwarded to the city of Woonsocket wherein the Property is located to be recorded in the Office of Land Evidence Records pursuant to R.I. Gen. Laws Chapter 34-13 and Section 46-19-4(c), as amended.
- (6) The NOV does not preclude the Director from taking any additional enforcement action nor does it preclude any other local, state, or federal governmental entities from initiating enforcement actions based on the acts or omissions described herein.

If you have any legal questions, please have your attorney contact Joseph J. LoBianco at the DEM's Office of Legal Services at (401) 222-6607. All other inquiries should be directed to David E. Chopy of the DEM's Office of Compliance and Inspection at (401) 222-1360 ext. 7400.

Please be advised that any such inquiries do not postpone, eliminate, or otherwise extend the need for a timely submittal of a written request for a hearing, as described in Section E above.

	FOR THE DIRECTOR
	By:
I hereby certify that on the	CERTIFICATION day of
I hereby certify that on the day of the within Notice of Violation was forwarded to:	
	H.S. Realty Corporation c/o Michael A. Kelly, Esq., Registered Agent Law Offices of Michael A. Kelly, PC 128 Dorrance Street Providence, RI 02903
by Certified Mail.	